## Flow Interfaces

## NYU COURANT

### Compositional Abstractions for Concurrent Data Structures

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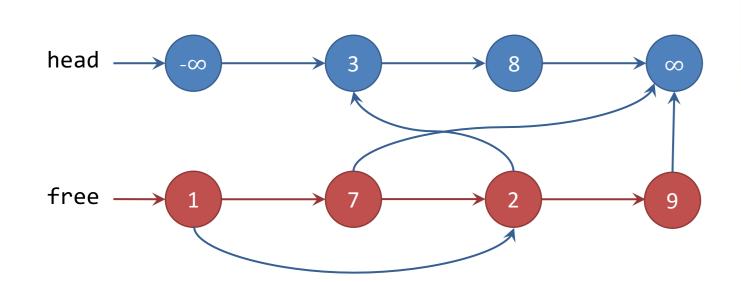
#### **Motivation**

Verifying concurrent data structures by only reasoning about the small region modified by each thread (compositional reasoning).

#### Challenges

- Unbounded sharing and complex overlays
- Data invariants depend on global shape

Examples: Harris' non-blocking list (below), B-link trees



#### **Current approaches**

- Separation logic (SL) based logics
- *Inductive predicates* to describe shape and data properties
- Example: list segments

$$ls(x,y) := (x = y \land emp) \lor$$
  
 $(\exists z. \ x \mapsto z * ls(z,y))$ 

- **Problem 1:** definition tied to traversal that visits every node exactly once
  - How do we describe Harris' list?
- **Problem 2:** predicates and lemmas are data-structure-specific
  - List composition:

$$ls(x,y) * ls(y,z) \Rightarrow ls(x,z)$$

- Sorted list segment with upper and lower bounds: sls(x, y, l, u)
- Different composition:

$$sls(x, y, l, v) * sls(y, z, w, u) \land v \le w$$
  
 $\Rightarrow sls(x, z, l, u)$ 

#### **Flows**

Key idea: encode global data invariants as local conditions on the *flow* of nodes, an inductively computed quantity.

**Example** specification: nodes reachable from root form a tree Solution: compute number of paths from root to each node

Start with a *flow domain*  $(D, \sqsubseteq, +, \cdot, 0, 1)$  – here use  $\mathbb{N}$ .

G = (N, e) is a flow graph

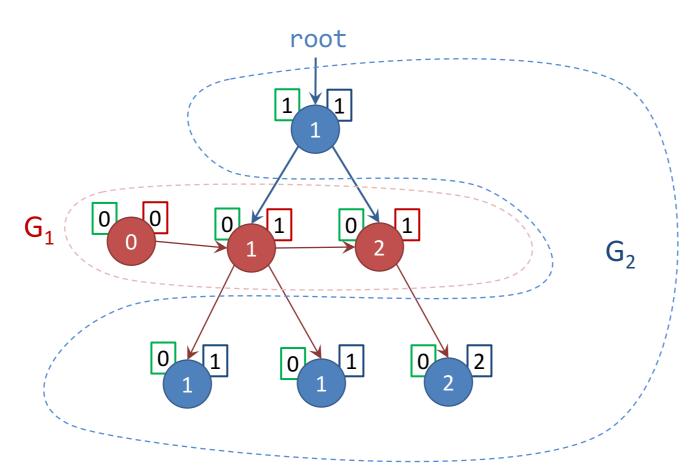
- *N*: finite set of nodes
- e: labels edges from D

Given an inflow  $in: N \to D$ , compute

 $flow(in, G): N \rightarrow D$ 

flow $(in, G) = \text{lfp}\left(\lambda C.\lambda n \in N.in(n) + \sum_{n' \in N} C(n') \cdot e(n', n)\right)$ 

Example spec is now:  $\forall n \in \mathbb{N}$ . flow $(in, G)(n) \leq 1$ 



#### Flow Interface Algebras

(*in*, *G*) is a flow interface graph

- *G*: partial flow graph with outgoing edges
- *in*: inflow on *G*

Some nice properties:

•  $\llbracket I_1 \rrbracket \circ \llbracket I_2 \rrbracket \subseteq \llbracket I_1 \circ I_2 \rrbracket$ 

•  $\oplus$  is associative & commutative

Composition and decomposition:

- Defined inductively to preserve flows
- Example:  $(in, G) = (in_1, G_1) \circ (in_2, G_2)$

(Flow interface graphs, •) is a separation algebra ⇒ Can use as semantic model for SL

# $fm(G)(n, n_o)$

#### **Abstractions: Flow Interfaces**

Flow map of a flow graph:

$$f = fm(G)(n, n_o) = \sum_{p:n \sim n_o} pathproduct(p)$$

- I = (in, f) is a flow interface
- Lift composition to interfaces:  $I_1 \oplus I_2$
- $[(in, f)]_{good}$  denotes all (in, G) s.t.
  - *f* is flow map of *G*
  - $\forall n \in G$ . good $(n, flow(in, G)(n), G|_n)$  holds
- Example:
  - $good(n, p, \_) = p \le 1$

Highlights

- Separation logic based abstraction
- Handles unbounded sharing & overlays
- Local reasoning for shape and data
- Not tied to one traversal strategy
- Data-structure-agnostic composition and abstraction lemmas
- Simple correctness proofs for complex concurrent dictionary algorithms

#### **Logic & Entailments**

- Can use any concurrent SL-like logic
- To demonstrate, we use rely-guarantee separation logic (RGSep)
- We add new predicates
  - These are parametrized by the good condition

$$Gr(I)$$
 Graph region satisfying interface

Singleton graph at *n* satisfying *I* N(n, I)Generic composition and decomposition:

$$\frac{\operatorname{Gr}(I) \wedge x \in I^{in}}{\operatorname{N}(x, I_1) * \operatorname{Gr}(I_2) \wedge I \in I_1 \oplus I_2}$$
 (Decomp)

$$\frac{\operatorname{Gr}(I_1) * \operatorname{Gr}(I_2) \wedge I \in I_1 \oplus I_2}{\operatorname{Gr}(I)}$$
 (COMP)

#### **Application: Verifying Concurrent Dictionaries**

We can prove memory safety and linearizability of

- Harris' non-blocking singly linked list
- B+ trees with give-up based fine grained locking

Both use same flow abstraction and key invariants for linearizability

Example: spec of B+ tree split method:

$$\begin{cases} \left( \mathsf{N}(p,I_p) * \mathsf{N}(c,I_c) \right) & \to \mathsf{Gr}(I) \\ \wedge I^f = \epsilon \wedge I_p^f(p,c) \neq (\emptyset,0) \wedge I_p^{\alpha} = (C_p,t) \wedge I_c^{\alpha} = (C_c,t) \\ \end{cases} \\ \mathsf{split}(\mathsf{c},\;\mathsf{p}); \\ \begin{cases} \left( \mathsf{N}(p,I_p') * \mathsf{N}(c,I_c') * \mathsf{N}(n,I_n) \right) & \to \mathsf{Gr}(I) \\ \wedge I^f = \epsilon \wedge I_p'^{\alpha} = (C_p,t) \wedge I_c'^{\alpha} = (C_c',t) \wedge I_n'^{\alpha} = (C_n,t) \wedge C_c = C_c' \cup C_n \\ \end{cases} \end{cases}$$